



12-07-01

PCT

JC14 Rec'd PCT/PTO 02 NOV 2001

EXPRESS MAIL CERTIFICATE

Date 11/2/01 Label No. EL 767728259-45

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W. LEE Name (Print) B.W. Lee Signature

Customer No.:



Docket No.: 2637/1F581US2

07278

PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Daniel NISSANOFF; Mark Allan SCHENECKER

Serial No.: 09/869,538 Art Unit:

Confirmation No.:

Filed: June 27, 2001 Examiner: Unknown

For: SYSTEMS AND METHODS FOR ELECTRONIC COMMERCE

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 170.00, (\$ 40 filing; \$ 130 late) in the form of:
  - check
  - deposit account no. 04-0100

PATENT FEE COMPUTATION SHEET

|  | No. of Claims<br>Presented | Extra Claims<br>Previously<br>Paid For | Number of<br>Extra Claims | Rate     |
|--|----------------------------|--|---------------------------|----------|
| Basic Fee . . . . .  |                            |  |                           | \$740.00 |
| Total Claims   | 0 - 20                     | - 0 = 0                                | x \$18.00                 | \$0.00   |
| Independent<br>Claims  | 0 - 3                      | - 0 = 0                                | x \$84.00                 | \$0.00   |
| Multiple Dependent Claims  |                            | - if so, add                           | \$280.00                  | \$0.00   |
| Surcharge for late submission of filing fee and/or declaration<br>(\$130.00) . . . . . |                            |  |                           | \$130.00 |
| SUBTOTAL . . . . .   |                            |  |                           | \$130.00 |
| [ ] Small Entity REDUCTION (Half of Subtotal) . . . . .                                |                            |  |                           | \$0.00   |
| Fee for recordation of assignment (\$40.00) . . . . .                                  |                            |  |                           | \$40.00  |
| Charge for filing non-English language application (\$130.00)                          |                            |  |                           | \$0.00   |
| TOTAL . . . . .  |                            |  |                           | \$170.00 |

credit card (see attached form)  
(See attached **Fee Computation Sheet**)

3.  Drawings, sheets (Figs. )
4.  Assignment for recording to: PartMiner, Inc.
5.  Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:  
Number:  
Date:

The priority documents  are enclosed  
 will follow.  
 were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

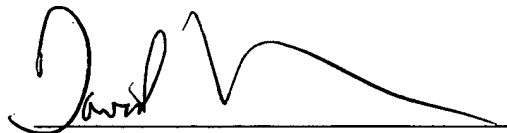
12/17/2001 UEDUVIJE 00000227 09869538

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130.00 OP

Dated: November 2, 2001

Respectfully submitted,



David Leason  
Reg. No. 36,195  
Attorney for Applicant(s)

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Serial No. 09/869,538

Docket No. 2637/1F581US2



DL

|   |                                    |                                      |
|---|------------------------------------|--------------------------------------|
| U.S. APPLICATION NO.<br>09/869538               | FIRST NAMED APPLICANT<br>NISSANOFF | ATTY. DOCKET NO.<br>D 2637/1F581-US2 |
| INTERNATIONAL APPLICATION NO.<br>PCT/US00/14365 |                                    |                                      |
| I.A. FILING DATE<br>24 MAY 00                   | PRIORITY DATE<br>24 MAY 99         |                                      |

DAVID LEASON  
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DUR: October 15, 2001  
Docketed on 8/22/00  
Docketed without fee   
Attorney DL

DATE MAILED: 15/10/2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)** B-15-02

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494)  an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.  Indication of Small Entity Status.
- Copy of the international application.  Translation of the international application into English.
- Oath or Declaration of inventors(s).  Translation of Article 19 amendments into English.
- Copy of Article 19 amendments.  Other:
- Priority Document.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.

2.  Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- U.S. Basic National Fee.  Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5.  Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.** #124/02

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- 7.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

- Enclosed:  PCT/DO/EO/917  Notice of Defective Translation
- PTO-875  PCT/DO/EO/920

Pat Booker, Paralegal